

08-22-01

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PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

| | | |
|---|------------------------|------------------------------------|
| NEW UTILITY PATENT APPLICATION TRANSMITTAL <i>(only for new nonprovisional applications under 37 CFR 1.53(b))</i> | Attorney Docket Number | 20412-03873 |
| | First Named Inventor | Michael A. Baxter |
| | Title | Banyan Switched Processor Datapath |
| | Express Mail Label No. | EL482470622US |

| APPLICATION ELEMENTS | ACCOMPANYING APPLICATION PARTS |
|--|--|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) | 7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) |
| 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 | 8. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) |
| 3. <input checked="" type="checkbox"/> Specification <i>Total Pages</i> 37 <i>(preferred arrangement set forth below)</i> | 9. <input type="checkbox"/> Power of Attorney or Authorization of Agent |
| <input type="checkbox"/> Descriptive Title of the Invention <input type="checkbox"/> Cross Reference(s) to Related Case(s) <input type="checkbox"/> Statement Regarding Fed sponsored R & D <input type="checkbox"/> Background of the Invention <input type="checkbox"/> Brief Summary of the Invention <input type="checkbox"/> Brief Description of the Drawing(s) <input type="checkbox"/> Detailed Description <input type="checkbox"/> Claim or Claims <input type="checkbox"/> Abstract of the Disclosure | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <i>Total Sheets</i> 20 | 11. <input type="checkbox"/> Preliminary Amendment |
| 5. Oath or Declaration | 12. <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Citation(s) |
| a. <input checked="" type="checkbox"/> New Declaration <i>Total Pages</i> 2 | 13. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent |
| <input checked="" type="checkbox"/> Executed (original or copy) | 14. <input checked="" type="checkbox"/> Return Postcard |
| b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> | 15. <input type="checkbox"/> |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | 16. <input type="checkbox"/> |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | 17. <input type="checkbox"/> |
| ADDRESS TO: Box Patent Application Commissioner for Patents Washington, D.C. 20231 | |

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

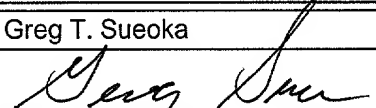
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: ____/____

Prior application information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

| | |
|--|---|
| <input checked="" type="checkbox"/> Customer Number and Bar Code Label |  00758 |
|--|---|

| | | | |
|-------------------|---|-----------------------------------|---------|
| Name (Print/Type) | Greg T. Sueoka | Registration No. (Attorney/Agent) | 33,800 |
| Signature |  | Date | 8/20/01 |

0002/PTO(modified)
Rev. 10/2000

U.S. Department of Commerce
Patent and Trademark Office

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$ 1092.**

Complete if Known

| | |
|------------------------|-------------------|
| Application Number | Unassigned |
| Filing Date | August 20, 2001 |
| First Named Inventor | Michael A. Baxter |
| Group Art Unit | Unassigned |
| Examiner Name | Unassigned |
| Attorney Docket Number | 20412-03873 |

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.[†]
- ☐ Applicant claims small entity status See 37 CFR 1.27

Deposit Account Number: 19-2555

Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description | Fee Due |
|------------------------------|------------------------------|--|---------------|
| 105/\$130 | 205/\$65 | Surcharge - late filing fee or oath | |
| 127/\$50 | 227/\$25 | Surcharge-late provisional filing fee or cover sheet | |
| 147/\$2,520 | 147/\$2,520 | For filing a request for reexamination | |
| 115/\$110 | 215/\$55 | Extension for response within first month [†] | |
| 116/\$390 | 216/\$195 | Extension for response within second month [†] | |
| 117/\$890 | 217/\$445 | Extension for response within third month [†] | |
| 118/\$1,390 | 218/\$695 | Extension for response within fourth month [†] | |
| 128/\$1,890 | 228/\$945 | Extension for response within fifth month [†] | |
| 119/\$310 | 219/\$155 | Notice of Appeal | |
| 141/\$1,240 | 241/\$620 | Petition to revive unintentionally abandoned application | |
| 142/\$1,240 | 242/\$620 | Utility Issue Fee (Or Reissue) | |
| 143/\$440 | 243/\$220 | Design Issue Fee | |
| 122/\$130 | 122/\$130 | Petitions to the Commissioner | |
| 126/\$180 | 126/\$180 | Submission of Information Disclosure Statement | |
| 179/\$710 | 279/\$355 | Request for Continued Examination (RCE) | |
| 581/\$40 | 581/\$40 | Recording each patent assignment per property (times number of properties) | 40 |
| 146/\$710 | 246/\$355 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149/\$710 | 249/\$355 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| Other fee (specify): | | | |
| Other fee (specify): | | | |
| SUBTOTAL (3) | | | (\$ 40 |

1. FILING FEE (fees effective 10/01/2000)

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description | Fee Due |
|------------------------------|------------------------------|--------------------|----------------|
| 101/\$710 | 201/\$355 | Utility Filing | 710 |
| 106/\$320 | 206/\$160 | Design Filing | |
| 108/\$710 | 208/\$355 | Reissue | |
| 114/\$150 | 214/\$75 | Provisional Filing | |
| SUBTOTAL (1) | | | (\$ 710 |

2. CLAIMS

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description |
|------------------------------|------------------------------|---|
| 103/\$18 | 203/\$9 | Claims in excess of 20 |
| 102/\$80 | 202/\$40 | Independent claims in excess of 3 |
| 104/\$270 | 204/\$135 | Multiple dependent claim |
| 109/\$80 | 209/\$40 | Reissue independent claims over original patent |
| 110/\$18 | 210/\$9 | Reissue claims in excess of 20 and over original patent |

| (Col. 1) | | (Col. 2) | | (Col. 3) | | Fee Due | |
|--|------------------------|----------|---------------------------------|----------|---------|---------|-----|
| For | No. of Existing Claims | minus* | Highest No. Previously Paid For | = | Extra** | x | Fee |
| TOTAL | 39 | minus* | 20 or 0 | = | 19 | x | 18 |
| INDEP | 3 | minus* | 3 or 0 | = | 0 | x | 0 |
| [] First presentation of multiple dependent claim | | | | | | | = 0 |

* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) (\$342.

SUBMITTED BY

Typed or Printed Name

Greg T. Sueoka

Signature

Greg T. Sueoka

Complete (if applicable)

Reg. Number

33,800

Date

8/20/01

[†] Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby